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## MUTAGEN AND ONCOGEN STUDY ON RJ-4

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acting with DNA and could induce repairable DNA lesions. The fact that no mutagenic activity was detected in vitro or in vivo reduces the significance of the UDS results as an indication of potential risk. UDS activity alone is not sufficient to categorize RJ-4 as a mutagen but the results can be interpreted as indicating a nonspecific chemical/DNA interaction.

## PREFACE

This research was initiated by the Toxicology Branch, Toxic Hazards Division, Aerospace Medical Research Laboratory. Experiments were performed under Contract F33615-77-C-0518 by Litton Bionetics, Inc., 5516 Nicholson Lane, Kensington, Maryland 20795.

The experiments were conducted by David J. Brusick, Ph.D., and Dale W. Matheson, Ph.D., of Litton Bionetics, Inc., Kensington, Maryland 20795. Kenneth C. Back, Ph.D., was contract monitor for the Aerospace Medical Research Laboratory.

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## GENERAL INTERPRETATION AND CONCLUSIONS

### INTRODUCTION

The material evaluated in this study was subjected to a matrix of in vitro assays employing microbial cells, mammalian cells in culture and in vivo tests measuring potential germ cell effects in mice and rats.

This battery of tests is capable of detecting specific locus gene mutations, nonspecific DNA damage and chromosome aberration (as indicated by dominant lethality). The dosing regimens included acute and subchronic exposures and the in vivo nature of some of the tests permits parameters of pharmacodynamics to be considered.

The analysis of the data is made on a matrix consideration using the entire spectra of responses to formulate the evaluation. A single set of data might indicate activity but the significance of the results will be interpreted as part of the total matrix. If all other data are negative the impact of the positive response will be reduced.

Conversely, if all tests show positive effects, the application of this broad-based response to estimation of potential human risk may be made with greater confidence.

The interpretations of data outlined in this section are based primarily on criteria developed for each assay system. The criteria are described in the experimental sections of this report.

Genetic activity is a property of chemicals which in most cases also indicates carcinogenic activity. Genetic activity cannot be used as a definitive assessment of carcinogenic risk for mammals but can be used to identify chemicals with a high probability of having carcinogenic activity.

### INTERPRETATION OF RESULTS

#### Microbial Assay

RJ-4 did not induce any effect in the Ames Salmonella/microsome assay under the conditions of this evaluation. All test values were within the expected spontaneous range.

#### Mouse Lymphoma Assay

The test data from this assay were within the range of the negative controls and were considered to be negative.

## Unscheduled DNA Synthesis Assay

The data from this assay appeared to be positive. The levels of  $^3\text{H}$ -thymidine incorporation in treated cells were significantly increased over the control values at all concentrations. A generalized dose-response effect was observed in both nonactivation (-S9) and activation (+S9) assays, especially the latter. The results indicated a chemical/DNA interaction leading to repairable lesions.

## Dominant Lethal Assays

Mouse--The results of the mouse dominant lethal assay were negative for RJ-4. All test data were within the range of the concurrent and historical negative control values.

Rat--The results of the rat dominant lethal assay were negative for RJ-4. All test data were within the range of the concurrent and historical negative control values.

## CONCLUSIONS

The test chemical, RJ-4, did not produce results from the tests conducted which indicated that it had mutagenic properties. Results from a test for unscheduled DNA synthesis (UDS) in WI-38 cells, however, did indicate that RJ-4 was capable of interacting with DNA and could induce repairable DNA lesions. The fact that no mutagenic activity was detected in vitro or in vivo reduces the significance of the UDS results as an indication of potential risk. UDS activity alone is not sufficient to categorize RJ-4 as a mutagen but the results can be interpreted as indicating a nonspecific chemical/DNA interaction.

PART I  
MICROBIAL ASSAY

EVALUATION SUMMARY

The test material, RJ-4, did not demonstrate mutagenic activity in any of the plate assays conducted in this evaluation.

FINAL REPORT  
MUTAGENICITY PLATE ASSAY

OBJECTIVE

The objective of this study was to evaluate the test compound, RJ-4, for genetic activity in microbial assays with and without the addition of mammalian metabolic activation preparations.

MATERIALS

Test Compound

The test compound was received on June 15, 1977. The compound was a colorless liquid.

Indicator Microorganisms

The indicator organisms used were:

- Salmonella typhimurium strains  
TA-1535            TA-98  
TA-1537            TA-100  
TA-1538
- Saccharomyces cerevisiae strain  
D4

Activation System\*

The reaction mixture used for this test was composed of the following:

Component	Final concentration/ml
TPN	4 µmoles
Glucose-6-phosphate	5 µmoles
Sodium phosphate (dibasic)	100 µmoles
MgCl <sub>2</sub>	8 µmoles
KCl	33 µmoles
Homogenate fraction	0.1-0.15 ml 9,000 x g supernatant of rat liver

The 9,000 x g supernatant was prepared from Sprague-Dawley adult male rat liver induced by Aroclor 1254 5 days prior to kill.

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\*Ames et al., Mutation Research, 31:347, 1975.

## Positive Control Chemicals

The following table lists the chemicals used for positive controls in the nonactivation and activation assays.

Assay	Chemical <sup>a</sup>	Solvent	Probable mutagenic specificity
Nonactivation	Methylnitrosoguanidine (MNNG)	Water or saline	BPS <sup>b</sup>
	2-Nitrofluorene (NF)	Dimethylsulfoxide <sup>c</sup>	FS <sup>b</sup>
	Quinacrine mustard (QM)	Water or saline	FS <sup>b</sup>
Activation	2-Anthramine (ANTH)	Dimethylsulfoxide <sup>c</sup>	BPS <sup>b</sup>
	2-Acetylaminofluorene (AAF)	Dimethylsulfoxide <sup>c</sup>	FS <sup>b</sup>
	8-Aminoquinoline (AMQ)	Dimethylsulfoxide <sup>c</sup>	FS <sup>b</sup>

<sup>a</sup>Concentrations given in Results section.

<sup>b</sup>BPS = base-pair substitution. FS = frameshift.

<sup>c</sup>Previously shown to be nonmutagenic.

## Solvent

Either deionized water or dimethylsulfoxide (DMSO) was used to prepare stock solutions of solid materials. All dilutions of test materials were made in either deionized water or DMSO. The solvent employed and its concentration are recorded in the Results section.

## EXPERIMENTAL DESIGN

### Plate Test (Overlay Method\*)

Approximately  $10^8$  cells from an overnight culture of each indicator strain were added to separate test tubes containing 2.0 ml of molten agar supplemented with biotin and a trace of histidine. For nonactivation tests, at least four dose levels of the test compound were added to the contents of the appropriate tubes and poured over the surfaces of selective agar plates. In activation tests, a minimum of four different concentrations of the test chemical was added to the appropriate tubes with cells. Just prior to

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\*Certain classes of chemicals known to be mutagens and carcinogens do not produce detectable responses using the standard Ames overlay method. Some dialkyl nitrosamines and certain substituted hydrazines are mutagenic in suspension assays but not in the plate assay. Chemicals of these classes should be screened in a suspension assay.

pouring, an aliquot of reaction mixture (0.5 ml containing the 9,000 x g liver homogenate) was added to each of the activation overlay tubes which were then mixed and the contents poured over the surface of a minimal agar plate and allowed to solidify. The plates were incubated for 48 hours at 37°C and scored for the number of colonies growing on each plate. The concentrations of all chemicals are given in the Results section. Positive and solvent controls using both directly active positive chemicals and those that require metabolic activation were run with each assay.

### Recording and Presenting Data

The numbers of colonies on each plate were counted and recorded on printed forms. These raw data were analyzed in a computer program and reported on a printout. The results are presented as revertants per plate for each indicator strain employed in the assay. The positive and the solvent controls are provided as reference points. Other relevant data are provided on the computer printout.

### EXPLANATION OF EVALUATION PROCEDURES FOR PLATE ASSAYS

Plate test data consist of direct revertant colony counts obtained from a set of selective agar plates seeded with populations of mutant cells suspended in a semisolid overlay. Because the test chemical and the cells are incubated in the overlay for 2 to 3 days and a few cell divisions occur during the incubation period, the test is semiquantitative in nature. Although these features of the assay reduce the quantitation of results, they provide certain advantages not contained in a quantitative suspension test:

- The small number of cell divisions permits potential mutagens to act on replicating DNA, which is often more sensitive than nonreplicating DNA.
- The combined incubation of the compound and the cells in the overlay permits constant exposure of the indicator cells for 2 to 3 days.

### Surviving Populations

Plate test procedures do not permit exact quantitation of the number of cells surviving chemical treatment. At low concentrations of the test chemical the surviving population on the treatment plates is essentially the same as that on the negative control plate. At high concentrations the surviving population is usually reduced by some fraction. Our protocol normally employs several doses ranging over two or three log concentrations, the highest of these doses being selected to show slight toxicity as determined by subjective criteria.

### Dose Response Phenomena

The demonstration of dose-related increases in mutant counts is an important criterion in establishing mutagenicity. A factor that might modify dose-response results for a mutagen would be selecting doses that are too low (usually mutagenicity and toxicity are related). If the highest dose is far lower than a toxic concentration, no increases may be observed over the dose

# SUMMARY OF PLATE TEST RESULTS

A. NAME OR CODE DESIGNATION OF THE TEST COMPOUND: RJ-4  
 B. SOLVENT: DMSO  
 C. TEST INITIATION DATE: JULY 5, 1977  
 NOTE: CONCENTRATIONS ARE GIVEN IN MICROLITERS (UL) OR MICROGRAMS (UG) PER PLATE.

TEST	SPECIES	ISSUE	REFERENCE ANALYSIS PER PLATE									
			IA-1535	IA-1537	IA-1538	IA-98	IA-100	IA-100	IA-100	IA-100	IA-100	IA-100
NONACTIVATION	---	---	1	2	1	2	1	2	1	2	1	2
	---	---	11	9	15	22	148	182	182	721	182	721
	---	---	550	749	917	972	>1000	156	207	152	165	145
	---	---	11	12	10	25	137	136	132	144	137	145
	---	---	15	2	6	23	137	136	132	144	137	145
ACTIVATION	---	---	6	11	14	12	137	136	132	144	137	145
	---	---	7	8	9	17	144	137	144	137	144	137
	---	---	8	9	9	14	137	144	137	144	137	145
	---	---	19	9	22	39	158	122	122	224	122	224
	---	---	244	194	>1000	>1000	935	139	128	104	101	168

\* IRY+ CONVERTANTS PER PLATE

** IA-1535	MNNG	10 UG/PLATE	*** IA-1535	ANTH	100 UG/PLATE
IA-1537	QM	10 UG/PLATE	IA-1537	AMQ	100 UG/PLATE
IA-1538	NF	100 UG/PLATE	IA-1538	AAF	100 UG/PLATE
IA-98	NF	100 UG/PLATE	IA-98	AAF	100 UG/PLATE
IA-100	MNNG	10 UG/PLATE	IA-100	ANTH	100 UG/PLATE
D4	MNNG	10 UG/PLATE	D4	DMNA	100 MICROMOLES/PLATE
SOLVENT	DMSO	50 UL/PLATE	SOLVENT	DMSO	50 UL/PLATE

range selected. Conversely, if the lowest dose employed is highly cytotoxic, the test chemical may kill any mutants that are induced and the compound will not appear to be mutagenic.

### Control Tests

Positive and negative control assays are conducted with each experiment and consist of direct-acting mutagens for nonactivation assays and mutagens that require metabolic biotransformation in activation assays. Negative controls consist of the test compound solvent in the overlay agar together with the other essential components. The negative control plate for each strain gives a reference point to which the test data are compared. The positive control assay is conducted to demonstrate that the test systems are functional with known mutagens.

### Evaluation Criteria for Ames Assay

Because the procedures used to evaluate the mutagenicity of the test chemical are semiquantitative, the criteria used to determine positive effects are inherently subjective and are based primarily on a historical data base. Most data sets are evaluated using the following criteria.

Strains TA-1535, TA-1537 and TA-1538--If the solvent control value is within the normal range, a chemical that produces a positive dose response over three concentrations with the lowest increase equal to twice the solvent control value is considered to be mutagenic.

Strains TA-98, TA-100 and D4--If the solvent control value is within the normal range, a chemical that produces a positive dose response over three concentrations with the highest increase equal to twice the solvent control value for TA-100 and two to three times the solvent control value for TA-98 and D4 is considered to be mutagenic. For these strains the dose response increase should start at approximately the solvent control value.

Pattern--Because TA-1535 and TA-100 were both derived from the same parental strain (G-46) and because TA-1538 and TA-98 were both derived from the same parental strain (D3052), there is a built-in redundancy in the microbial assay. In general the two strains of a set respond to the same mutagen and such a pattern is sought. It is also anticipated that if a given strain, e.g., TA-1537, responds to a mutagen in nonactivation tests it will generally do so in activation tests. (The converse of this relationship is not expected.) While similar response patterns are not required for all mutagens, they can be used to enhance the reliability of an evaluation decision.

Reproducibility--If a chemical produces a response in a single test that cannot be reproduced in one or more additional runs, the initial positive test data lose significance.

The preceding criteria are not absolute and other extenuating factors may enter into a final evaluation decision. However, these criteria are applied to the majority of situations and are presented to aid those individuals not familiar with this procedure. As the data base is increased the criteria for evaluation can be more firmly established.



## Relationship Between Mutagenicity and Carcinogenicity

It must be emphasized that the Ames Salmonella/microsome test is not a definitive test for chemical carcinogens. It is recognized, however, that correlative and functional relationships have been demonstrated between these two endpoints. The results of comparative tests of 300 chemicals by McCann et al.\* show an extremely good correlation between results of microbial mutagenesis tests and in vivo rodent carcinogenesis assays.

All evaluation and interpretation of the data presented in this report are based only on the demonstration of or lack of mutagenic activity.

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\*McCann et al., Proc. Nat. Acad. Sci., USA, 72:5135-5139, 1975.

PART II  
MOUSE LYMPHOMA ASSAY

EVALUATION SUMMARY

The test material, RJ-4, was not mutagenic in the L5178Y mouse lymphoma cells. The results obtained were consistent with a nonmutagenic material.

## FINAL REPORT

### L5178Y MOUSE LYMPHOMA MUTAGENICITY ASSAY

#### OBJECTIVE

The objective of this study was to evaluate RJ-4 for specific locus forward mutation induction in the L5178Y thymidine kinase (TK) mouse lymphoma cell assay.

#### MATERIALS

##### Test Compound

The test compound was received on June 15, 1977. The compound was a colorless liquid.

##### Indicator Cells

The Fischer mouse lymphoma cell line used in this study was derived from L5178Y. The cells are heterozygous for a specific autosomal mutation at the TK locus and are bromodeoxyuridine (BUdR) sensitive. Scoring for mutation was based on selecting cells that have undergone forward mutation from a TK+/- to a TK-/- genotype by cloning them in soft agar with BUdR.

##### Media

The cells were maintained in Fischer's Medium for Leukemic Cells of Mice with 10% horse serum and sodium pyruvate. Cloning medium consisted of Fischer's Medium with 20% horse serum, sodium pyruvate and 0.37% agar. Selection medium was made from cloning medium by the addition of 5.0 mg of BUdR to 100 ml of cloning medium.

##### Control Compounds

Negative Control--The solvent in which the test compound was dissolved was used as a negative control and is designated as solvent control in the data table. The actual solvent is listed in the Results section.

Positive Controls--Ethylmethanesulfonate (EMS), which induces mutation by base-pair substitution, was dissolved in culture medium and used as a positive control for the nonactivation studies at a final concentration of 0.5 µl/ml.

Dimethylnitrosamine (DMN), which requires metabolic biotransformation by microsomal enzymes, was used as a positive control substance for the activation studies at a final concentration of 0.3 µl/ml.

#### EXPERIMENTAL DESIGN

##### Toxicity

The solubility, toxicity and doses for all chemicals were determined prior to screening. The effect of each chemical on the survival of the indicator cells

was determined by exposing the cells to a wide range of chemical concentrations in complete growth medium. Toxicity was measured as loss in growth potential of the cells induced by a 4-hour exposure to the chemical followed by a 24-hour expression period in growth medium. A minimum of four doses was selected from the range of concentrations by using the highest dose that showed no loss in growth potential as the penultimate dose and by bracketing this with one higher dose and at least two lower doses. Toxicity produced by chemical treatment was monitored during the experiment.

## Assays

Nonactivation Assay--The procedure used is a modification of that reported by Clive and Spector.\* Prior to each treatment, cells were cleansed of spontaneous TK-/- by growing them in a medium containing thymidine, hypoxanthine, methotrexate and glycine (THMG). This medium permits the survival of only those cells that produce the enzyme thymidine kinase and can therefore utilize the exogenous thymidine from the medium. The test compound was added to the cleansed cells in growth medium at the predetermined doses for 4 hours. The mutagenized cells were washed, fed and allowed to express in growth medium for 3 days. At the end of this expression period, TK-/- mutants were detected by cloning the cells in the selection medium for 10 days. Surviving cell populations were determined by plating diluted aliquots in nonselective growth medium.

Activation Assay--The activation assay differs from the nonactivation assay in the following manner only. Two milliliters of the reaction mixture were added to 10 ml of growth medium. The desired number of cleansed cells was added to this mixture and the flask was incubated on a rotary shaker for 4 hours. The incubation period was terminated by washing the cells twice with growth medium. The washed mutagenized cells were then allowed to express for 3 days and were cloned as indicated for the nonactivated cells.

## Preparation of 9,000 x g Supernatant

Male random bred mice were killed by cranial blow, decapitated and bled. The liver was immediately dissected from the animal using aseptic technique and placed in ice-cold 0.25M sucrose buffered with Tris buffer at a pH of 7.4. When an adequate number of livers had been collected they were washed twice with fresh buffered sucrose and completely homogenized. The homogenate was centrifuged for 20 minutes at 9,000 x g in a refrigerated centrifuge. The supernatant from this centrifuged sample was retained and frozen at -80°C until used in the activation system. This microsome preparation was added to a "core" reaction mixture to form the activation system described below:

Component	Final concentration/ml
TPN (sodium salt)	6 µmoles
Isocitric acid	35 µmoles
Tris buffer, pH 7.4	28 µmoles
MgCl <sub>2</sub>	2 µmoles
Homogenate fraction	100 µliters

\*Clive and Spector, Mutation Research, 31:17-29, 1975.

## Screening

A mutation index was derived by dividing the number of clones formed in the BUdR-containing selection medium by the number found in the same medium without BUdR. The ratio was then compared to that obtained from other dose levels and from positive and negative controls. Colonies were counted on an electronic colony counter that resolves all colonies greater than 200 microns in diameter.

## RESULTS

The data presented in the following table show the concentrations of the test compound employed, the number of mutant clones obtained, the surviving populations after the expression period and the calculated mutation frequencies.

### CRITERIA USED IN THE EVALUATION

Several criteria have been established which, if met, provide a basis for declaring a material genetically active in the mouse lymphoma assay. These criteria are derived from a historical data base and are helpful in maintaining uniformity in evaluations from material to material and run to run. While these criteria are reasonably objective, a certain amount of flexibility may be required in making the final evaluation since absolute criteria may not be applicable to all biological data.

A compound is considered mutagenic in the mouse lymphoma assay if:

- A dose response relationship is observed over three of the four dose levels employed.
- The minimum increase at the high level of the dose response curve is at least 2.5 times greater than the solvent control value.
- The solvent control data are within the normal range of the spontaneous background for the TK locus.

All evaluations of mutagenic activity are based upon the concurrent solvent control value run with the experiment in question. Positive control values are not used as reference points but are included to ensure the current cell population responds to direct and promutagens under the appropriate treatment conditions.

Occasionally a single point within a concentration range will show an increase 2.5 times greater than the spontaneous background. If the increase is at the high dose, is reproducible and if an additional higher dose level is not feasible because of toxicity, the chemical can be considered mutagenic. If the increase is internal within the dose range and is not reproducible, the increase will normally be considered aberrant. If the internal increase is reproducible, several doses clustered around the positive concentration will be examined to either confirm or reject the reliability of the effect.

As the data base on the assay increases, the evaluation criteria can be expected to become more firmly established.

# SUMMARY OF MOUSE LYMPHOMA (L5178Y) RESULTS

A. NAME OR CODE DESIGNATION OF THE TEST COMPOUND: RJ-4

B. SOLVENT: DMSO

C. TEST DATE: 11/07/77

NOTE: CONCENTRATIONS ARE GIVEN IN MICROLITERS (UL) ON MICROGRAMS (UG) OR NANOLITERS (NL) PER MILLILITER.

TEST	SOURCE	ISSUE	CELLS	DAILY COUNTS	RELATIVE SUSPENSION GROWTH (% OF CONTROL)	TOTAL MUTANT CLONES	TOTAL VIABLE CLONES (9.0% CONTROL)	RELATIVE CLONING EFFICIENCY	PERCENT RELATIVE EFFICIENCY	MUTANT FREQUENCY
NONACTIVATION	---	---	---	---	---	---	---	---	---	---
SOLVENT CONTROL	---	---	---	---	---	---	---	---	---	---
NEGATIVE CONTROL	---	---	---	---	---	---	---	---	---	---
EMS .5UL/ML	---	---	---	---	---	---	---	---	---	---
TEST COMPOUND	---	---	---	---	---	---	---	---	---	---
0.00500 UL/ML	---	---	---	---	---	---	---	---	---	---
0.01000 UL/ML	---	---	---	---	---	---	---	---	---	---
0.01500 UL/ML	---	---	---	---	---	---	---	---	---	---
0.02000 UL/ML	---	---	---	---	---	---	---	---	---	---
0.03000 UL/ML	---	---	---	---	---	---	---	---	---	---

## ACTIVATION

SOLVENT CONTROL	MOUSE	LIVER	7.4	11.4	12.9	100.0	7.5	369.5	100.0	0.0203
NEGATIVE CONTROL	MOUSE	LIVER	8.6	7.0	18.0	99.6	10.0	270.0	73.1	0.0370
DMN .5UL/ML	MOUSE	LIVER	5.6	6.8	13.0	45.5	19.0	138.0	37.3	0.1377
TEST COMPOUND	MOUSE	LIVER	6.6	9.8	5.6	33.3	12.0	263.0	71.2	0.0456
0.01000 UL/ML	MOUSE	LIVER	7.2	8.0	12.2	64.6	3.0	364.0	98.5	0.0082
0.01500 UL/ML	MOUSE	LIVER	5.8	14.0	13.4	100.0	7.0	244.0	66.0	0.0287
0.02000 UL/ML	MOUSE	LIVER	4.2	12.8	15.0	74.1	6.0	268.0	72.5	0.0010
0.04000 UL/ML	MOUSE	LIVER	4.4	9.2	13.0	51.3	20.0	278.0	75.2	0.0719

\* (RELATIVE SUSPENSION GROWTH X RELATIVE CLONING EFFICIENCY) / 100  
 \*\* (MUTANT CLONES / VIABLE CLONES) X 10.E-4

### PART III

## UNSCHEDULED DNA SYNTHESIS IN WI-38 CELLS

### EVALUATION SUMMARY

The test material, RJ-4, induced unscheduled DNA synthesis (UDS) in  $G_1$  arrested WI-38 cells. The effect was dose-related and metabolic activation was not required for activity.

UDS is a measure of the extent to which a chemical will interact with DNA and produce lesions which are repaired enzymatically. The data are represented as the percent uptake of  $^3H$ -thymidine into DNA repair sequences compared to untreated cells. Levels near or exceeding 200% of the control are considered indications of substantial DNA repair. The assay is not a measure of mutagenic activity but many mutagens are active in this system. The results therefore correlate with mutagenesis in most cases. When the UDS data does not correlate with mutagenesis as the case of RJ-4, the implications for risk are less. The results indicate the induction of DNA toxicity only and not lesions which lead to stable mutation.

FINAL REPORT  
UNSCHEDULED DNA SYNTHESIS IN WI-38 CELLS

OBJECTIVE

The objective of this study was to evaluate the test chemical, RJ-4, for its ability to induce unscheduled DNA synthesis (UDS) in human diploid WI-38 cells blocked in G<sub>1</sub> phase.

MATERIALS

Test Compound

The test compound was received on June 15, 1977. The compound was a colorless liquid.

Indicator Cells

Diploid WI-38 cells derived from human embryonic lung were used in this assay.

Media

Growth medium (GM) consisted of Eagle's minimal essential medium (EMEM) supplemented with 10% fetal calf serum (FCS) and penicillin-streptomycin (PS).

Maintenance medium (SM) consisted of EMEM supplemented with 0.5% FCS and PS.

Hydroxyurea medium (HUM) consisted of SM plus hydroxyurea to a final concentration of 10<sup>-2</sup>M.

Control Compounds

Negative Control--The material used as the solvent for the test chemical was used as the negative control. The solvent is listed in the Results section. The volume of solvent in the negative control test will equal the total solvent added in the high dose for the test chemical.

Positive Controls--N-methylnitrosoguanidine (MNNG) at a concentration of 10 µg/ml was used as the positive control agent in nonactivation tests. The positive control agent in activation tests was 3,4-benzo(α)pyrene (BαP) at a concentration of 20 µg/ml.

EXPERIMENTAL DESIGN

Cell Preparation

Normal human diploid WI-38 cells were seeded at 2.5 x 10<sup>5</sup> cells in a 60 mm tissue culture dish and grown to confluency in GM. Once reaching confluency the cells were switched to SM for 5 days. The contact inhibition imposed by confluency and the use of SM held the cells in a nonproliferating state.



## Treatment

On the day of treatment, cells held in G<sub>1</sub> phase were placed in HUM. After 30 minutes this medium was replaced by 2 ml of HUM containing the control or test chemical and 1.0  $\mu$ Ci of <sup>3</sup>H-TdR. Each treatment was at three concentrations. Exposure was terminated after 1.5 hours by washing the cells twice in cold balanced salt solution (BSS) containing an excess of cold thymidine. The test concentrations were selected from a large series of trial concentrations and covered toxic and nontoxic dose ranges.

## DNA Extraction and Measurement of <sup>3</sup>H-TdR Incorporation

Treated plates were frozen at -20°C until processed. After thawing, the cells on the 60-mm plate were covered with 2.5% sodium dodecyl sulfate (SDS) in 1 x (SSC) (0.15M NaCl - 0.015M Na citrate) and scraped from the dish with a rubber policeman. The cells were washed and precipitated from the SDS by three changes of 95% ethanol and centrifuged at 10,000 x g. Additional lipid components were removed by extraction in ethanol ether at 70°C. This pellet was washed in 70% ethanol, further incubated at 70°C in 0.3N NaOH and the DNA extracted in 50  $\mu$ l 1N perchloric acid (PCA) at 70°C. The DNA was separated into two 25  $\mu$ l aliquots. One of these was dissolved in 10 ml of hydromix scintillation cocktail (Yorktown Company) and counted in a Beckman liquid scintillation spectrometer. The second aliquot was added to 275  $\mu$ l of 1N PCA and read at 260 nm in a Gilford spectrophotometer. The values were corrected for light scatter and converted to micrograms of DNA. Following liquid scintillation counting the data were combined with the DNA extraction values and expressed as disintegrations per minute (DPM) per microgram of DNA (DPM/ $\mu$ g DNA).

## Activation System

Because metabolic activation is essential for the expression of biological activity in some chemicals, a mouse liver activation system containing liver S9 was employed. The activation system consisted of the following:

Component	Final concentration/ml
TPN (sodium salt)	6 $\mu$ moles
Isocitric acid	35 $\mu$ moles
Tris buffer, pH 7.4	28 $\mu$ moles
MgCl <sub>2</sub>	2 $\mu$ moles
Homogenate fraction	100 $\mu$ liters

## RESULTS

The results of the UDS assay in WI-38 cells are shown in the following table.

### SUMMARY OF UNSCHEDULED DNA SYNTHESIS IN WI-38 CELLS

Test Compound: RJ-4  
Solvent: H<sub>2</sub>O

Assay No. 1924

Date of Test Initiation: November 30, 1977

Test	Compound concentration	O.D.260	DNA µg	CPM	DPM	DPM µg/DNA	Percent of control
Trial Nonactivation							
Solvent control	H <sub>2</sub> O	1.25	41	713	3100	75.6	---
MNNG	10 µg/ml	0.91	30	873	4157	139.2	184
Test compound, RJ-4	0.1 µl/ml	0.96	32	1443	7215	225.5	298
	0.5 µl/ml	0.74	24	741	3368	140.3	185
	1.0 µl/ml	0.62	20	905	4309	215.0	284
	5.0 µl/ml	0.89	29	2495	12475	430.2	569
Activation							
Solvent control	H <sub>2</sub> O	1.70	56	529	2116	37.9	---
Benzo(α)pyrene	Lost <sup>a</sup>	-----	--	---	----	----	---
Test compound, RJ-4	0.1 µl/ml	1.10	36	1847	8795	244.3	644
	0.5 µl/ml	0.91	30	1766	8409	280.3	738
	1.0 µl/ml	0.98	32	1982	9910	309.7	815
	5.0 µl/ml	0.83	27	1778	8467	313.6	827

<sup>a</sup>Tubes broken in centrifuge.

### CRITERIA USED IN THE EVALUATION

Several criteria have been established which, if met, provide a basis for declaring a material genetically active in the UDS assay. These criteria are derived from an historical data base and are helpful in maintaining uniformity in evaluations from material to material and run to run. While these criteria are reasonably objective, a certain amount of flexibility may be required in making the final evaluations since absolute criteria may not be applicable to all biological data.

A compound is considered active in the UDS assay if:

- A dose-response relationship is observed over two of the three dose levels employed.
- The minimum increase at the high level of the dose response is at least two times greater than the solvent control value (i.e., at least 200% of control).

All evaluations of UDS activity are based on the concurrent solvent control value run with the experiment in question. Positive control values are not used as reference points to measure activity but rather to demonstrate that the cell population employed was responsive to chemicals known to induce repair synthesis under the appropriate test conditions.

As the data base for the UDS assay increases, the evaluation criteria will become more firmly established.

PART IV-A

MOUSE DOMINANT LETHAL ASSAY

EVALUATION SUMMARY

The test material, RJ-4, was negative in the mouse dominant lethal assay.

## FINAL REPORT

### MUTAGENICITY EVALUATION OF MOUSE DOMINANT LETHAL ASSAY

#### OBJECTIVE

The objective of this study was to evaluate RJ-4 for its ability to induce dominant lethality in mice.

#### MATERIALS

The test compound was received June 15, 1977. The compound was a colorless liquid.

#### OVERVIEW AND RATIONALE

The dominant lethal assay is designed to determine the ability of a compound to induce genetic damage in the germ cells of treated male mice leading to fetal wastage. Chromosome aberrations including breaks, rearrangements and deletions are believed to produce the dominant lethality although ploidy changes and chromosome nondisjunction may also be detected in this assay. Male mice are exposed to several dose levels of the test compound for 5 days and then mated over the entire period of spermatogenesis to unexposed virgin females. At midpregnancy the females are killed and scored for the number of living and dead implants as well as the level of fertility. These results are then compared to data from control animals and used to determine the degree of induced dominant lethality.

Evidence of dominant lethality emphasizes that the compound was able to reach the developing germ cells and induce genetic damage. It also suggests, but does not measure directly, that in addition to the detected gross chromosomal lesions more subtle balanced lesions or specific locus gene mutations may be produced. These latter types have a good chance of being transmitted to the gene pool of future offspring.

#### EXPERIMENTAL DESIGN

Ten random bred male mice from a closed colony were assigned to one of five groups. Three of these groups received different dose levels of the test compound, a fourth group received only the solvent and the fifth group received a known mutagen and served as the positive control group. The test compound and the solvent control were administered in the feed for 5 consecutive days. Triethylene melamine (TEM) was used as the positive control and was given as a single intraperitoneal injection 2 days before the animals were mated. Following treatment each male was rested for 2 days and then caged with two unexposed virgin females. At the end of 7 days these females were replaced with two new unexposed females. This weekly mating sequence was continued for 7 weeks. The mated females were transferred to a new cage and 14 days after the midweek of being caged with the male the females were killed with CO<sub>2</sub>. At necropsy their uteri were examined for dead and living fetuses, resorption sites and total implantations.

## Animals

Random bred male and female mice, strain CD-1, were purchased from The Charles River Breeding Laboratories (Portage, Michigan). Male and female mice were at least 8 weeks of age when purchased.

## Animal Husbandry

Males were housed individually and females housed in pairs (except during mating) in shoe box cages on AB-SORB-DRI bedding.

All animals were quarantined for 2 weeks prior to being used in the study to acclimate them to the new laboratory conditions. Purina Lab Chow was used as the basic diet and water was offered ad libitum. Light was provided on a 12-hour light/dark cycle.

Personnel handling animals or working within the animal facility wore suitable protective laboratory garments including face masks or respirators.

## Records

The number of dead and living implants and total implantation sites were recorded on a standardized record form. Data were keypunched directly from these forms onto computer entry cards and analyzed for statistical significance as outlined in the Appendix.

## Compound Administration

The dose levels used in this evaluation were determined by performing a preliminary range finding study. Based on that study dose levels employed were 0.04 ml/kg, 0.13 ml/kg and 0.4 ml/kg. The compound was dissolved in DMSO and administered by intraperitoneal injection. Negative control animals were administered by DMSO intraperitoneally, the volume consistent with the greatest volume administered to test animals at 0.2 ml/mouse/day. Positive control animals were administered TEM at 0.25 mg/kg acute intraperitoneal injection with 0.85% saline as a vehicle.

Male numbers	Treatment	Dose, ml/kg	Route	Total vol. admin., ml/mouse/day
11-20	RJ-4	0.04	IP	0.13
21-30	RJ-4	0.13	IP	0.04
31-40	RJ-4	0.4	IP	0.13
1-10	(NC) DMSO	---	IP	0.2
101-110	(PC) TEM	0.25 mg/kg	IP	0.1

IP = intraperitoneal

NC = negative control

PC = positive control

## RESULTS

The results are presented in the following tables.

### DOMINANT LETHALITY EVALUATION CRITERIA

Both pre- and postimplantation losses contribute to dominant lethality. The former is reflected in the total number of implantation sites per pregnant female and strictly measured by the difference between the number of corpora lutea gravidus and the number of implantation sites. Toxic or physiological effects on sperm may also reduce the number of implantation sites. Therefore, unless subtle physiological effects on sperm can be discounted, preimplantation loss is not as rigorous an indication of dominant lethality as postimplantation loss. Corpora lutea cannot be reliably counted in mice and, therefore, preimplantation loss is not evaluated in studies using mice. Postimplantation losses are measured as early and late fetal deaths plus the number of resorption sites.

Dominant lethality is typically determined from: 1) A mutation index derived from the ratio of dead to total implants; or 2) the number of dead implants per pregnant female. In interpreting these values it must be remembered that the former measurement reflects both pre- and postimplantation losses and that the ratio is affected by changes in either the numerator or the denominator. For this reason the second parameter is perhaps a better indicator of postimplantation loss. This becomes especially so if one concurrently examines the number of living embryos per pregnant female. The two sets of data should be inversely related. In other words if true dominant lethality is being observed then a significant increase in the number of dead implants per pregnant female should be accompanied by a significant decrease in the number of living implants per pregnant female.

These ratios are compared with both concurrent and historical control data for significant statistical differences. Dose-related trends are also looked for but may not always be found. For example, some compounds such as EMS tested in mice show a threshold value and then a very steep rise. Certain portions of the response might be missed depending upon the spacing of the dose levels used.

True as opposed to spurious dominant lethality also tends to cluster according to the stage of spermatogenesis affected and typically would not be expected to appear in widely spaced weeks or blocks of weeks.

All data which are indicated as being statistically significant must also be strongly evaluated for their biological significance. By bringing both statistical and biological selective pressures to bear upon the data gathered, an estimate of dominant lethality and of risk to the gene pool should be obtainable.

# FERTILITY INDEX

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC		SPECIES: MICE		LOG DOSE	ARITH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0400 CC/KG	0.1300 CC/KG	0.4000 CC/KG	
1	510/ 900 = 0.57	8/ 20 = 0.40	14/ 20 = 0.70	8/ 20 = 0.40	13/ 20 = 0.65	7/ 20 = 0.35		
2	666/ 940 = 0.71	7/ 20 = 0.35	12/ 20 = 0.60	10/ 20 = 0.50	15/ 20 = 0.75*	4/ 20 = 0.20		
3	627/ 900 = 0.70	10/ 20 = 0.50	13/ 20 = 0.65	9/ 20 = 0.45	18/ 20 = 0.90*	5/ 20 = 0.25		
4	622/ 902 = 0.69	11/ 20 = 0.55	17/ 20 = 0.85	11/ 20 = 0.55	16/ 20 = 0.80	8/ 20 = 0.40		
5	575/ 899 = 0.64	6/ 20 = 0.30	16/ 20 = 0.80**	12/ 21 = 0.57	17/ 20 = 0.85**	7/ 20 = 0.35		
6	613/ 900 = 0.68	9/ 20 = 0.45	17/ 20 = 0.85*	7/ 20 = 0.35	17/ 20 = 0.85*	8/ 20 = 0.40		
7	567/ 860 = 0.66	10/ 20 = 0.50	16/ 20 = 0.80	9/ 20 = 0.45	17/ 20 = 0.85*	8/ 20 = 0.40		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

ONE \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.05.  
TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.



# AVERAGE NUMBER OF IMPLANTATIONS PER PREGNANT FEMALE

WEEK	COMPOUND: RJ4			STUDY: SURCIRONIC			SPECIES: MICE		LONG DOSE	ARTH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	PUS. CONTROL	0.0400 CC/KG	0.1300 CC/KG	0.4000 CC/KG			
1	5889/ 510	= 11.55	85/ 8 = 10.63	144/ 14 = 10.29	104/ 8 = 13.00*	151/ 13 = 11.62	82/ 7 = 11.71			
2	7777/ 666	= 11.68	86/ 7 = 12.29	124/ 12 = 10.33	123/ 10 = 12.30	174/ 15 = 11.60	39/ 4 = 9.75			
3	7472/ 627	= 11.92	126/ 10 = 12.60	143/ 13 = 11.00	111/ 9 = 12.33	202/ 18 = 11.22	59/ 5 = 11.00			
4	7234/ 622	= 11.63	141/ 11 = 12.82	214/ 17 = 12.59	139/ 11 = 12.64	209/ 16 = 13.06	110/ 8 = 13.75			
5	6715/ 575	= 11.68	72/ 6 = 12.00	210/ 16 = 13.13	143/ 12 = 11.92	206/ 17 = 12.12	89/ 7 = 12.71			
6	7473/ 613	= 12.19	117/ 9 = 13.00	177/ 17 = 10.41*	76/ 7 = 10.86	206/ 17 = 12.12	94/ 8 = 11.75			
7	6905/ 567	= 12.18	112/ 10 = 11.20	185/ 16 = 11.56	115/ 9 = 12.78	204/ 17 = 12.00	95/ 8 = 11.88			

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

ONE \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.05.  
TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

# AVERAGE RESORPTIONS (DEAD IMPLANTS) PER PREGNANT FEMALE

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC		SPECIES: MICE		LOG DOSE	ARITH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0400 CC/KG	0.1300 CC/KG		
1	332/ 510 = 0.65	7/ 8 = 0.88	19/ 14 = 1.36	6/ 8 = 0.75	2/ 13 = 0.15*	0/ 7 = 0.0	\$	\$
2	576/ 666 = 0.86	4/ 7 = 0.57	48/ 12 = 4.00**	2/ 10 = 0.20	18/ 15 = 1.20	0/ 4 = 0.0		
3	481/ 627 = 0.77	5/ 10 = 0.50	14/ 13 = 1.08	3/ 9 = 0.33	12/ 18 = 0.67	2/ 5 = 0.40		
4	507/ 622 = 0.82	3/ 11 = 0.27	9/ 17 = 0.53	2/ 11 = 0.18	9/ 16 = 0.56	7/ 8 = 0.88		\$
5	346/ 575 = 0.60	2/ 6 = 0.33	12/ 16 = 0.75	7/ 12 = 0.58	4/ 17 = 0.24	7/ 7 = 1.00		
6	453/ 613 = 0.74	2/ 9 = 0.22	11/ 17 = 0.65	3/ 7 = 0.43	20/ 17 = 1.18*	4/ 8 = 0.50		
7	341/ 567 = 0.60	14/ 10 = 1.40	8/ 16 = 0.50	5/ 9 = 0.56	8/ 17 = 0.47*	3/ 8 = 0.38		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

ONE \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.05.  
TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

# PROPORTION OF FEMALES WITH ONE OR MORE DEAD IMPLANTATIONS

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC				SPECIES: MICE	
	HIST.	NEG. CUMT.	NEG. CONTROL	POS. CONTROL	0.0400 CC/KG	0.1300 CC/KG	0.4000 CC/KG	
1	222/ 510 = 0.44	4/ 8 = 0.50	8/ 14 = 0.57	3/ 8 = 0.38	2/ 13 = 0.15	0/ 7 = 0.0		
2	244/ 666 = 0.37	3/ 7 = 0.43	10/ 12 = 0.83	2/ 10 = 0.20	7/ 15 = 0.47	0/ 4 = 0.0		
3	291/ 627 = 0.46	4/ 10 = 0.40	8/ 13 = 0.62	2/ 9 = 0.22	8/ 18 = 0.44	2/ 5 = 0.40		
4	315/ 622 = 0.51	3/ 11 = 0.27	7/ 17 = 0.41	2/ 11 = 0.18	6/ 16 = 0.38	4/ 8 = 0.50		
5	222/ 575 = 0.39	1/ 6 = 0.17	7/ 16 = 0.44	5/ 12 = 0.42	4/ 17 = 0.24	3/ 7 = 0.43		
6	285/ 613 = 0.46	2/ 9 = 0.22	9/ 17 = 0.53	3/ 7 = 0.43	11/ 17 = 0.65	3/ 8 = 0.38		
7	240/ 567 = 0.42	7/ 10 = 0.70	5/ 16 = 0.31	3/ 9 = 0.33	7/ 17 = 0.41	2/ 8 = 0.25		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

ONE \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.05.

TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

# PROPORTION OF FEMALES WITH TWO OR MORE DEAD IMPLANTATIONS

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC				SPECIES: MICE		LOG DOSE	ARTH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0400 CC/KG	0.1300 CC/KG	0.4000 CC/KG			
1	84/ 510 = 0.16	2/ 8 = 0.25	5/ 14 = 0.36	3/ 8 = 0.38	0/ 13 = 0.0	0/ 13 = 0.0	0/ 7 = 0.0		\$	
2	120/ 666 = 0.18	1/ 7 = 0.14	10/ 12 = 0.83*	0/ 10 = 0.0	3/ 15 = 0.20	0/ 4 = 0.0				
3	99/ 627 = 0.16	1/ 10 = 0.10	3/ 13 = 0.23	1/ 9 = 0.11	3/ 18 = 0.17	0/ 5 = 0.0				
4	112/ 622 = 0.18	0/ 11 = 0.0	1/ 17 = 0.06	0/ 11 = 0.0	3/ 16 = 0.19	2/ 8 = 0.25				
5	77/ 575 = 0.13	1/ 6 = 0.17	3/ 16 = 0.19	2/ 12 = 0.17	0/ 17 = 0.0	2/ 7 = 0.29				
6	90/ 613 = 0.15	0/ 9 = 0.0	1/ 17 = 0.06	0/ 7 = 0.0	5/ 17 = 0.29	1/ 8 = 0.13				
7	67/ 567 = 0.12	4/ 10 = 0.40	2/ 16 = 0.13	1/ 9 = 0.11	1/ 17 = 0.06	1/ 8 = 0.13				

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

ONE \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.05.  
TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

# DEAD IMPLANTS / TOTAL IMPLANTS

WEEK	COMPOUND: RJ4			STUDY: SUBCHRONIC			SPECIFS: MICE			LOG DOSE	ARITH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0400 CC/KG	0.1300 CC/KG	0.4000 CC/KG				
1	332/5889 = 0.06	7/ 85 = 0.08	19/144 = 0.13	6/104 = 0.06	2/151 = 0.01*	0/ 82 = 0.0 *			\$ \$		
2	576/7777 = 0.07	4/ 86 = 0.05	48/124 = 0.39**	2/123 = 0.02	18/174 = 0.10	0/ 39 = 0.0					
3	481/7472 = 0.06	5/126 = 0.04	14/143 = 0.10	3/111 = 0.03	12/202 = 0.06	2/ 59 = 0.03					
4	507/7234 = 0.07	3/141 = 0.02	9/214 = 0.04	2/139 = 0.01	9/209 = 0.04	7/110 = 0.06		\$		\$	
5	346/6715 = 0.05	2/ 72 = 0.03	12/210 = 0.06	7/143 = 0.05	4/206 = 0.02	7/ 89 = 0.08					
6	453/7473 = 0.06	2/117 = 0.02	11/177 = 0.06*	3/ 76 = 0.04	20/206 = 0.10*	4/ 94 = 0.04					
7	341/6905 = 0.05	14/112 = 0.13	8/185 = 0.04*	5/115 = 0.04	8/204 = 0.04*	3/ 95 = 0.03*				\$	

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

THE TWO COLUMNS IDENTIFIED AS LOG DOSE AND ARITHMETIC DOSE ARE USED TO NOTE ANY SIGNIFICANT DEVIATION OF THE SLOPE OF THE REGRESSION LINE FROM ZERO.

SIGNIFICANCE IN THE LOG DOSE COLUMN IS CALCULATED USING THE LEVELS OF THE TEST COMPOUND, WHEREAS THE SIGNIFICANCE IN THE ARITHMETIC DOSE COLUMN ALSO INCLUDES THE NEGATIVE CONTROL.

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PART IV-B

RAT DOMINANT LETHAL ASSAY

EVALUATION SUMMARY

The test material, RJ-4, was negative in the rat dominant lethal assay.

## FINAL REPORT

### MUTAGENICITY EVALUATION OF RAT DOMINANT LETHAL ASSAY

#### OBJECTIVE

The objective of this study was to evaluate RJ-4 for its ability to induce dominant lethality in rats.

#### MATERIALS

The test compound was received June 15, 1977. The compound was a colorless liquid.

#### OVERVIEW AND RATIONALE

The dominant lethal assay is designed to determine the ability of a compound to induce genetic damage in the germ cells of treated male rats leading to fetal wastage. Chromosome aberrations including breaks, rearrangements and deletions are believed to produce the dominant lethality although ploidy changes and chromosome nondisjunction may also be detected in this assay. Male rats are exposed to several dose levels of the test compound for 5 days and then mated over the entire period of spermatogenesis to unexposed virgin females. At midpregnancy the females are killed and scored for the number of living and dead implants as well as the level of fertility. These results are then compared to data from control animals and used to determine the degree of induced dominant lethality.

Evidence of dominant lethality emphasizes that the compound was able to reach the developing germ cells and induce genetic damage. It also suggests, but does not measure directly, that in addition to the detected gross chromosomal lesions more subtle balanced lesions or specific locus gene mutations may be produced. These latter types have a good chance of being transmitted to the gene pool of future offspring.

#### EXPERIMENTAL DESIGN

Ten random bred male rats from a closed colony were assigned to one of five groups. Three of these groups received different dose levels of the test compound, a fourth group received only the solvent and the fifth group received a known mutagen and served as the positive control group. The test compound and the solvent control were administered orally by gavage for 5 consecutive days. Triethylene melamine (TEM) was used as the positive control and was given as a single intraperitoneal injection 2 days before the animals were mated. Following treatment each male was rested for 2 days and then caged with two unexposed virgin females. At the end of 7 days these females were replaced with two new unexposed females. This weekly mating sequence was continued for 7 weeks. The mated females were transferred to a new cage and 14 days after the midweek of being caged with the male the females were killed with CO<sub>2</sub>. At necropsy their uteri were examined for dead and living fetuses, resorption sites and total implantations.

## Animals

Random bred male and female rats, strain CRL:COBS CD(SD)Br, were purchased from The Charles River Breeding Laboratories (Portage, Michigan). Male and female rats were at least 10 weeks of age when purchased.

## Animal Husbandry

Males were housed individually and females housed in pairs (except during mating) in shoe box cages on AB-SORB-DRI bedding.

All animals were quarantined for 2 weeks prior to being used in the study to acclimate them to the new laboratory conditions. Purina Rat Chow was used as the basic diet and water was offered ad libitum. Light was provided on a 12-hour light/dark cycle.

Personnel handling animals or working within the animal facility wore suitable protective laboratory garments including face masks or respirators.

## Records

The number of corpora lutea, dead and living fetuses, resorption sites and total implantation sites were recorded on a standardized record form. Data were keypunched directly from these forms onto computer entry cards and analyzed for statistical significance as outlined in the Appendix.

## Compound Administration

The dose levels used in this evaluation were determined by performing a preliminary range finding study. Based on that study dose levels employed were 0.009 ml/kg, 0.03 ml/kg and 0.09 ml/kg. The compound was dissolved in acetone and administered by intraperitoneal injection. The negative control animals were administered acetone intraperitoneally, the volume consistent with the greatest volume administered to test animals at 0.32 ml/rat. Positive control animals were administered TEM 0.3 mg/kg acute intraperitoneally in 0.85% saline.

Male numbers	Treatment	Dose, ml/kg	Route	Total vol. admin., ml/rat/day
31-40	RJ-4	0.009	IP	0.032
41-50	RJ-4	0.03	IP	0.11
51-60	RJ-4	0.09	IP	0.32
91-100	(NC) Acetone	-	IP	0.32
121-130	(NC) Acetone	-	IP	0.32
101-110	(PC) TEM	0.3 mg/kg	IP	0.1

IP = intraperitoneal  
NC = negative control  
PC = positive control



## RESULTS

The results are presented in the following tables.

### DOMINANT LETHALITY EVALUATION CRITERIA

Both pre- and postimplantation losses contribute to dominant lethality. The former is reflected in the total number of implantation sites per pregnant female and strictly measured by the difference between the number of corpora lutea gravidus and the number of implantation sites. Toxic or physiological effects on sperm may also reduce the number of implantation sites. Therefore, unless subtle physiological effects on sperm can be discounted, preimplantation loss is not as rigorous an indication of dominant lethality as postimplantation loss. Postimplantation losses are measured as early and late fetal deaths plus the number of resorption sites.

Dominant lethality is typically determined from: 1) A mutation index derived from the ratio of dead to total implants; or 2) the number of dead implants per pregnant female. In interpreting these values it must be remembered that the former measurement reflects both pre- and postimplantation losses and that the ratio is affected by changes in either the numerator or the denominator. For this reason the second parameter is perhaps a better indicator of postimplantation loss. This becomes especially so if one concurrently examines the number of living embryos per pregnant female. The two sets of data should be inversely related. In other words if true dominant lethality is being observed then a significant increase in the number of dead implants per pregnant female should be accompanied by a significant decrease in the number of living implants per pregnant female.

These ratios are compared with both concurrent and historical control data for significant statistical differences. Dose-related trends are also looked for but may not always be found. For example, some compounds such as EMS tested in mice show a threshold value and then a very steep rise. Certain portions of the response might be missed depending upon the spacing of the dose levels used.

True as opposed to spurious dominant lethality also tends to cluster according to the stage of spermatogenesis affected and typically would not be expected to appear in widely spaced weeks or blocks of weeks.

All data which are indicated as being statistically significant must also be strongly evaluated for their biological significance. By bringing both statistical and biological selective pressures to bear upon the data gathered, an estimate of dominant lethality and of risk to the gene pool should be obtainable.

# FERTILITY INDEX

WEEK	COMPOUND: RJ4			STUDY: SUBCHRONIC			SPECIES: RATS		
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	LOG DOSE	ARTH DOSE
1	201/ 519 = 0.39	2/ 20 = 0.10	7/ 20 = 0.35	11/ 20 = 0.55**	12/ 20 = 0.60**	9/ 20 = 0.45*			
2	230/ 520 = 0.44	3/ 20 = 0.15	8/ 20 = 0.40	16/ 20 = 0.80**	12/ 20 = 0.60**	8/ 20 = 0.40	\$		
3	260/ 520 = 0.50	4/ 20 = 0.20	12/ 20 = 0.60*	16/ 20 = 0.80**	14/ 20 = 0.70**	10/ 20 = 0.50	\$		
4	308/ 520 = 0.59	4/ 20 = 0.20	13/ 20 = 0.65*	11/ 20 = 0.55*	14/ 20 = 0.70**	10/ 20 = 0.50			
5	310/ 520 = 0.60	4/ 20 = 0.20	16/ 20 = 0.80**	15/ 20 = 0.75**	12/ 20 = 0.60*	9/ 20 = 0.45			
6	304/ 520 = 0.58	2/ 20 = 0.10	9/ 20 = 0.45*	12/ 20 = 0.60**	10/ 20 = 0.50*	11/ 20 = 0.55**			
7	306/ 480 = 0.64	3/ 20 = 0.15	12/ 20 = 0.60**	16/ 20 = 0.80**	9/ 20 = 0.45	10/ 20 = 0.50*			

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

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TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

# AVERAGE NUMBER OF IMPLANTATIONS PER PREGNANT FEMALE

COMPOUND: RJ4			STUDY: SUBCHRONIC			SPECIES: RATS		
WEEK	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	
1	2313/ 201 = 11.51	20/ 2 = 10.00	56/ 7 = 8.00	91/ 11 = 8.27	147/ 12 = 12.25	101/ 9 = 11.22		
2	2568/ 230 = 11.17	33/ 3 = 11.00	78/ 8 = 9.75	186/ 16 = 11.50	144/ 12 = 12.00	90/ 8 = 11.25		
3	3039/ 260 = 11.69	42/ 4 = 10.50	68/ 12 = 5.67*	223/ 16 = 13.94*	154/ 14 = 11.00	125/ 10 = 12.50		
4	3943/ 308 = 12.80	53/ 4 = 13.25	121/ 13 = 9.31	137/ 11 = 12.45	167/ 14 = 11.93	125/ 10 = 12.50		
5	3915/ 310 = 12.63	42/ 4 = 10.50	222/ 16 = 13.88*	179/ 15 = 11.93	145/ 12 = 12.08	112/ 9 = 12.44		
6	3571/ 304 = 11.75	21/ 2 = 10.50	125/ 9 = 13.89	167/ 12 = 13.92	98/ 10 = 9.80	153/ 11 = 13.91		
7	3803/ 306 = 12.43	41/ 3 = 13.67	138/ 12 = 11.50	219/ 16 = 13.69	141/ 9 = 15.67	143/ 10 = 14.30		

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# AVERAGE CORPORA LUTEA PER PREGNANT FEMALE

COMPOUND: RJ4				STUDY: SUBCHRONIC			SPECIES: RATS		
WEEK	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	LOG DOSE	ARTH DOSE
1	3023/ 201	= 15.04	33/ 2 = 16.50	99/ 7 = 14.14	164/ 11 = 14.91	205/ 12 = 17.08	139/ 9 = 15.44		
2	3407/ 230	= 14.81	48/ 3 = 16.00	144/ 8 = 18.00	283/ 16 = 17.69	203/ 12 = 16.92	139/ 8 = 17.38		
3	3947/ 260	= 15.18	54/ 4 = 13.50	123/ 12 = 10.25	268/ 16 = 16.75	192/ 14 = 13.71	157/ 10 = 15.70		
4	4827/ 308	= 15.67	56/ 4 = 14.00	203/ 13 = 15.62	167/ 11 = 15.18	205/ 14 = 14.64	143/ 10 = 14.30		
5	5058/ 310	= 16.32	54/ 4 = 13.50	243/ 16 = 15.19	209/ 15 = 13.93	172/ 12 = 14.33	123/ 9 = 13.67		
6	4631/ 304	= 15.23	30/ 2 = 15.00	157/ 9 = 17.44	204/ 12 = 17.00	165/ 10 = 16.50	184/ 11 = 16.73		
7	4700/ 306	= 15.36	45/ 3 = 15.00	159/ 12 = 13.25	254/ 16 = 15.88	142/ 9 = 15.78	159/ 10 = 15.90		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

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# AVERAGE PREIMPLANTATION LOSSES PER PREGNANT FEMALE

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC		SPECIES: RATS		LUG DOSE	ARTH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	
1	710/ 201 = 3.53	13/ 2 = 6.50	43/ 7 = 6.14	73/ 11 = 6.64	58/ 12 = 6.64	4.83	38/ 9 = 4.22	
2	839/ 230 = 3.65	15/ 3 = 5.00	66/ 8 = 8.25	92/ 16 = 6.19	54/ 12 = 4.92	4.92	49/ 8 = 6.13	
3	908/ 260 = 3.49	12/ 4 = 3.00	55/ 12 = 4.58*	45/ 16 = 2.81	38/ 14 = 2.71	2.71	32/ 10 = 3.20	
4	884/ 308 = 2.87	3/ 4 = 0.75	82/ 13 = 6.31**	30/ 11 = 2.73	38/ 14 = 2.71	2.71	18/ 10 = 1.80	
5	1143/ 310 = 3.69	12/ 4 = 3.00	21/ 16 = 1.31	30/ 15 = 2.00	27/ 12 = 2.25	2.25	11/ 9 = 1.22	
6	1060/ 304 = 3.49	9/ 2 = 4.50	32/ 9 = 3.56	37/ 12 = 3.08	67/ 10 = 6.70	6.70	31/ 11 = 2.82	
7	897/ 306 = 2.93	4/ 3 = 1.33	21/ 12 = 1.75	35/ 16 = 2.19	1/ 9 = 0.11*	16/ 10 = 1.60		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.

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# AVERAGE RESORPTIONS (DEAD IMPLANTS) PER PREGNANT FEMALE

COMPOUND: RJ4				STUDY: SUBCHRONIC			SPECIES: RATS		
WEEK	HIST.	NEG. CONT.	NEG. CONTROL	PDS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	LOG DOSE	ARITH DOSE
1	258/ 201 =	1.28	1/ 2 = 0.50	28/ 7 = 4.00	9/ 11 = 0.82	7/ 12 = 0.58	3/ 9 = 0.33		
2	253/ 230 =	1.10	2/ 3 = 0.67	64/ 8 = 8.00**	18/ 16 = 1.13	17/ 12 = 1.42	4/ 8 = 0.50		
3	354/ 260 =	1.36	2/ 4 = 0.50	64/ 12 = 5.33**	27/ 16 = 1.69	24/ 14 = 1.71	19/ 10 = 1.90		
4	239/ 308 =	0.78	0/ 4 = 0.0	66/ 13 = 5.08**	17/ 11 = 1.55	9/ 14 = 0.64	7/ 10 = 0.70		
5	328/ 310 =	1.06	4/ 4 = 1.00	40/ 16 = 2.50	55/ 15 = 3.67	44/ 12 = 3.67	30/ 9 = 3.33		
6	366/ 304 =	1.20	3/ 2 = 1.50	7/ 9 = 0.78	17/ 12 = 1.42	16/ 10 = 1.60	31/ 11 = 2.82		
7	296/ 306 =	0.97	5/ 3 = 1.67	11/ 12 = 0.92	12/ 16 = 0.75	9/ 9 = 1.00	5/ 10 = 0.50		

NOTE: THE SYMBOL \* DENOTES ANY SIGNIFICANT DIFFERENCE AT THE DOSE LEVELS COMPARED WITH THE NEGATIVE CONTROL.  
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# PROPORTION OF FEMALES WITH ONE OR MORE DEAD IMPLANTATIONS

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC		SPECIES: RATS		LONG DOSE	ARITH DOSE
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG		
1	97/ 201 = 0.48	1/ 2 = 0.50		5/ 7 = 0.71	6/ 11 = 0.55	6/ 12 = 0.50	2/ 9 = 0.22	
2	115/ 230 = 0.50	2/ 3 = 0.67		8/ 8 = 1.00	5/ 16 = 0.31	6/ 12 = 0.50	3/ 8 = 0.38	
3	129/ 260 = 0.50	1/ 4 = 0.25		12/ 12 = 1.00**	7/ 16 = 0.44	8/ 14 = 0.57	6/ 10 = 0.60	
4	142/ 308 = 0.46	0/ 4 = 0.0		13/ 13 = 1.00**	4/ 11 = 0.36	7/ 14 = 0.50	5/ 10 = 0.50	
5	153/ 310 = 0.49	2/ 4 = 0.50		12/ 16 = 0.75	10/ 15 = 0.67	9/ 12 = 0.75	5/ 9 = 0.56	
6	155/ 304 = 0.51	1/ 2 = 0.50		6/ 9 = 0.67	10/ 12 = 0.83	6/ 10 = 0.60	5/ 11 = 0.45	
7	153/ 306 = 0.50	3/ 3 = 1.00		7/ 12 = 0.58	6/ 16 = 0.38	5/ 9 = 0.56	4/ 10 = 0.40	

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# PROPORTION OF FEMALES WITH TWO OR MORE DEAD IMPLANTATIONS

WEEK	COMPOUND: RJ4			STUDY: SUBCHRONIC			SPECIES: RATS		
	HIST.	NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG	LOG DOSE	ARITH DOSE
1	47/ 201 = 0.23	0/ 2 = 0.0	0/ 2 = 0.0	5/ 7 = 0.71	2/ 11 = 0.18	1/ 12 = 0.08	1/ 9 = 0.11		
2	45/ 230 = 0.20	0/ 3 = 0.0	0/ 3 = 0.0	8/ 8 = 1.00*	4/ 16 = 0.25	3/ 12 = 0.25	1/ 8 = 0.13		
3	53/ 260 = 0.20	1/ 4 = 0.25	1/ 4 = 0.25	11/ 12 = 0.92*	5/ 16 = 0.31	7/ 14 = 0.50	3/ 10 = 0.30		
4	43/ 308 = 0.14	0/ 4 = 0.0	0/ 4 = 0.0	13/ 13 = 1.00**	3/ 11 = 0.27	2/ 14 = 0.14	2/ 10 = 0.20		
5	78/ 310 = 0.25	1/ 4 = 0.25	1/ 4 = 0.25	8/ 16 = 0.50	7/ 15 = 0.47	7/ 12 = 0.58	5/ 9 = 0.56		
6	72/ 304 = 0.24	1/ 2 = 0.50	1/ 2 = 0.50	1/ 9 = 0.11	4/ 12 = 0.33	1/ 10 = 0.10	2/ 11 = 0.18		
7	84/ 306 = 0.27	1/ 3 = 0.33	1/ 3 = 0.33	3/ 12 = 0.25	2/ 16 = 0.13	3/ 9 = 0.33	1/ 10 = 0.10		

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 TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.



# DEAD IMPLANTS / TOTAL IMPLANTS

WEEK	COMPOUND: RJ4		STUDY: SUBCHRONIC		SPECIES: RATS		LOG DOSE	ARTH DOSE
	HIST. NEG. CONT.	NEG. CONTROL	POS. CONTROL	0.0090 CC/KG	0.0300 CC/KG	0.0900 CC/KG		
1	258/2313 = 0.11	1/ 20 = 0.05	28/ 56 = 0.50	9/ 91 = 0.10	7/147 = 0.05	3/101 = 0.03	\$	
2	253/2568 = 0.10	2/ 33 = 0.06	64/ 78 = 0.82**	18/184 = 0.10	17/144 = 0.12	4/ 90 = 0.04		
3	354/3039 = 0.12	2/ 42 = 0.05	64/ 68 = 0.94**	27/223 = 0.12	24/154 = 0.16	19/125 = 0.15		
4	239/3943 = 0.06	0/ 53 = 0.0	66/121 = 0.55**	17/137 = 0.12	9/167 = 0.05	7/125 = 0.06	\$	
5	328/3915 = 0.08	4/ 42 = 0.10	40/222 = 0.18	55/179 = 0.31	44/145 = 0.30	30/112 = 0.27		
6	366/3571 = 0.10	3/ 21 = 0.14	7/125 = 0.06	17/167 = 0.10	16/ 98 = 0.16	31/153 = 0.20	\$	\$
7	296/3803 = 0.08	5/ 41 = 0.12	11/138 = 0.08	12/219 = 0.05	9/141 = 0.06	5/143 = 0.03*		

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TWO \* OR \$ INDICATES SIGNIFICANCE AT P LESS THAN 0.01.

## STANDARD OPERATING PROCEDURES

To ensure an accurate and reliable mutagenicity testing program, LBI instituted the following procedures:

- The test compound was registered in a bound log book recording the date of receipt, complete client identification, physical description and LBI code number.
- Complete records of weights and dilutions associated with the testing of the submitted material were entered into a bound notebook.
- Raw data information was recorded on special printed forms that were dated and initialed by the individual performing the data collection at the time the observations were made. These forms were filed as permanent records.
- All animal tissue S-9 preparations used in the activation tests were taken from dated and pretested frozen lots, each identified by a unique number. The S-9 preparations were monitored for uniformity and the information was recorded.

## APPENDIX A

### Analysis of Data

#### 1. Fertility Index

- a. The fertility index is defined as  $F.I. = \# \text{ of pregnant females} / \# \text{ of mated females}$ . It is calculated for each week (in subacute study) or at the end of 8 weeks (in acute study) and for each dose level, negative control, and positive control.
- b. A chi-square test is used to compare each treatment group and positive control to negative control.

$$\chi_i^2 = \frac{(N_0 + N_i) (n_0(N_i - n_i) - n_i(N_0 - n_0) - (N_0 + N_i)/2)^2}{(n_0 + n_i)(N_0 - n_0 + N_i - n_i)N_0N_i}$$

where

$n_i$  = # impregnated in i-th test group

$n_0$  = # impregnated in negative control group

$N_i$  = # of females mated in the i-th test group

$N_0$  = # of females mated in negative control group

A 2 x 2 table is formed as follows:

	control	test
# impreg	$n_0$	$n_i$
# not impreg	$N_0 - n_0$	$N_i - n_i$

Significance at the 5 and 1% levels is indicated with asterisks.

- c. Armitage's trend for linear proportions is used to test whether the fertility index is linearly related to arithmetic or log dose.

The following table is set up:

	-control	dose 1	dose 2	dose 3	dose k	totals
# impreg	$n_0$	$n_1$	$n_2$	$n_3$	$n_k$	$t$
# not impreg	$N_0 - n_0$	$N_1 - n_1$	$N_2 - n_2$	$N_3 - n_3$	$N_k - n_k$	$T - t$
totals	$N_0$	$N_1$	$N_2$	$N_3$	$N_k$	$T$

and Armitage's chi-square is calculated:

$$\chi_A^2 = \chi_{(k-1)}^2 - \chi_1^2$$

where

$$\chi_1^2 = \frac{T(T \sum_{i=0}^k n_i x_i - t \sum_{i=0}^k N_i x_i)^2}{t(T - t)(T \sum_{i=0}^k N_i x_i^2 - (\sum_{i=0}^k N_i x_i)^2)}$$

$$\chi_{(k-1)}^2 = \frac{T^2(\sum_{i=0}^k \frac{N_i^2}{N_i} - t^2/T)}{t(T - t)}$$

and the  $x_i$  are the dose levels. This calculation is repeated with  $x$  replaced by  $\log_{10} x$ . The 5 and 1% significance levels are indicated by dollar signs.

## 2. Total Number of Implantations

- a. The total number of implantations is evaluated by the Student's t-test to determine whether the average number of implantations per pregnant female for each treatment group and the positive control group differs significantly from the negative control group.

$n_i$  = # of pregnant females at dose level  $i$ .

$u_{ij}$  = # of implantations for pregnant female  $j$  in dose group  $i$ .

$$\bar{u}_i = 1/n_i (\sum_{j=1}^{n_i} u_{ij})$$

$$s_i^2 = \sum_{j=1}^{n_i} (u_{ij} - \bar{u}_i)^2$$

$$t_i = \bar{u}_0 - \bar{u}_i / \left( \frac{s_0^2 + s_i^2}{n_0 + n_i - 2} \left( \frac{1}{n_0} + \frac{1}{n_i} \right) \right)^{\frac{1}{2}}$$

$$\text{d.f.} = n_0 + n_i - 2$$

Significance at the 5 and 1% levels is indicated by asterisks.

- b. A regression fit of the average number of implantations,  $\bar{u}_i$ , is made for both the arithmetic and logarithmic dose ( $x_i$  and  $\log x_i$ ). The doses  $x_i$  are used as independent variables and the fit includes data from the three treatment groups and the control group.

$N$  = total # of pregnant females in all groups.

$x_i$  = dose/log (dose) for the  $i$ -th female.

$U_i$  = # of implantations for the  $i$ -th female.

$$\bar{x} = \frac{1}{N} \sum_{i=1}^N x_i$$

$$SS_x = \sum_{i=1}^N (x_i - \bar{x})^2$$

$$\bar{U} = \frac{1}{N} \sum_{i=1}^N U_i$$

$$SS_u = \sum_{i=1}^N (U_i - \bar{U})^2$$

$$S_{xu} = \sum_{i=1}^N (x_i - \bar{x})(U_i - \bar{U})$$

$B$  = estimate of slope of regression line =  $S_{xu}/SS_x$

$A$  = estimate of intercept of regression line =  $\bar{U} - B \bar{x}$

$VARU$  = variance of  $U$  about regression line

$$= \frac{SS_u - S_{xu}^2/SS_x}{N-2}$$

$VARB$  = variance of  $B = \frac{VARU}{SS_x}$

$$\begin{aligned}
\text{VARA} &= \text{variance of A} = \text{VARU} \left( \frac{1}{N} + \frac{\bar{x}^2}{SS_X} \right) \\
\text{TB} &= B/(\text{VARB})^{\frac{1}{2}} = \text{t-statistic for testing the hypothesis} \\
&\quad \text{that the regression slope is zero} \\
\text{DF} &= N-2 = \# \text{ of degrees of freedom for T B} \\
\text{CVUX} &= \text{coefficient of variation of U about x} \\
&= (\text{VARU.X})^{\frac{1}{2}}/\bar{U} \\
\text{VARU.X} &= \frac{1}{N-2} (SS_U - S_{XU}^2/SS_X) \\
\text{SDY} &= \text{standard deviation of U about the regression line} \\
&= (\text{VARU.X})^{\frac{1}{2}} \\
\text{SDS} &= \text{standard deviation of the slope} \\
&= (\text{VARB})^{\frac{1}{2}} \\
\text{SDA} &= \text{standard deviation of intercept} \\
&= (\text{VARA})^{\frac{1}{2}}
\end{aligned}$$

Significant difference of the slope from zero is indicated at the 5 and 1% levels in Table 2. Table 2A shows detailed results of the regression analysis.

### 3. Total Number of Corpora Lutea (For rats only)

- a. The average number of corpora lutea per pregnant female is evaluated by t-test to determine whether each treatment group differed significantly from the control group. Use the equation described in Step 2 above with

$$u_{ij} = \# \text{ of corpora lutea for pregnant female } j \text{ in dose group } i.$$

- b. A regression fit of the average number of corpora lutea per pregnant female is made for both the arithmetic and logarithmic dose. Use the equations described in Step 2 above with

$$u_i = \# \text{ of corpora lutea for the } i\text{-th female}$$

4. Preimplantation Losses  
(For rats only)

- a. The number of preimplantation losses is the number of corpora lutea minus the number of implantations.

$Y_{ij}$  = preimplantation losses for  $j$ -th female in  $i$ -th group

$V_{ij}$  = # of corpora lutea for  $j$ -th female in the  $i$ -th group

- b. The Freeman-Tukey transformation is applied to the  $Y_{ij}$  as follows:

$$f_{ij} = \sin^{-1} \frac{y_{ij}}{\sqrt{y_{ij} + 1}} + \sin^{-1} \frac{y_{ij} + 1}{\sqrt{y_{ij} + 1}}$$

The t-test is then applied to the  $f$ 's, comparing the test groups to the negative control. Let

$$\bar{f}_i = \frac{1}{n_i} \sum_{j=1}^{n_i} f_{ij}$$

$$s_i^2 = \sum_{j=1}^{n_i} (f_{ij} - \bar{f}_i)^2$$

where  $n_i$  = # of pregnant females at dose level  $i$ .

$$\text{Then } t = \frac{\bar{f}_0 - \bar{f}_i}{\sqrt{\frac{s_0^2 + s_i^2}{n_0 + n_i - 2} \left( \frac{1}{n_0} + \frac{1}{n_i} \right)}}^{\frac{1}{2}}$$

- c. Regression analysis is used to determine whether the average number of preimplantation losses per female is related to the arithmetic or the log dose. The method is as used in Step 2 above substituting

$$U_i = \# \text{ of preimplantation losses for the } i\text{-th female.}$$



5. Dead Implantations

The dead implants were evaluated by the same statistical techniques that were used in evaluating the total number of implantations.

Substitute

$u_{ij}$  = # of dead implants for j-th female in the i-th group in the equations in Step 2 above.

6. Proportion of Females with One or More Dead Implantations

The proportion of females with one or more dead implants is the number of females with dead implants/number of pregnant females. These proportions are analyzed by the same method used to analyze the fertility indices, i.e., by a chi-square test and Armitage's trend.

Substitute  $n_i$  = # of pregnant females with one or more dead implants at dose level i and

$N_i$  = # of pregnant females at dose level i in Step 1 above.

Also a probit regression analysis is done using these proportions,  $p_i$ , to determine whether the probit of  $p_i$  is linearly related to the log or arithmetic dose. The Biomedical Computer Program BMD03S is used to compute A and B and the  $\chi^2$  statistic for the regression equations  $y = A + B x$  and  $y = A + B \log x$ .

7. Proportion of Females with Two or More Dead Implantations

The proportion of females with two or more dead implantations is the number of females with two or more dead implants/number of pregnant females. The data are evaluated by the same method used for evaluating the proportion of females with one or more dead implants.

8. Dead Implants/Total Implants

Dead implants/total implants were computed for each female and transformed by way of the Freeman-Tukey arc-sine transformation prior to being evaluated by t-test to compare each treatment group and positive control to negative control.

Use  $y_{ij}$  = # dead implants for j-th female in i-th group

$v_{ij}$  = # of total implants for j-th female in i-th group

in the equations in Step 4 above.

## NOTICES

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## TECHNICAL REVIEW AND APPROVAL

AMRL-TR-78-45

The experiments reported herein were conducted according to the "Guide for the Care and Use of Laboratory Animals," Institute of Laboratory Animal Resources, National Research Council.

This report has been reviewed by the Information Office (OI) and is releasable to the National Technical Information Service (NTIS). At NTIS, it will be available to the general public, including foreign nations.

This technical report has been reviewed and is approved for publication.

**FOR THE COMMANDER**



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